



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dougan H. Clarke

Serial No.: 10/824,206

Filing Date: April 14, 2004

For: UMBRELLA FRAME AND OPERATING SYSTEM

Confirmation No.
Customer No. 04219
Yip, W., Examiner
Group Art Unit 3637

2800 S.W. Third Avenue
Historic Coral Way
Miami, Florida 33129

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 29 day of June, 2007.

Respectfully submitted,

MALLOY & MALLOY, P.A.
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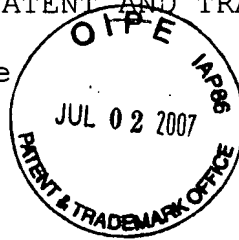
By: 

Jennie S. Malloy
Reg. No. 37,670

Date: 6/29/07

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Dear Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty of disclosure, the attached information is provided for consideration by the U.S. Patent and Trademark Office in connection with the above-captioned application. The information provided herewith is identified on the attached form PTO/SB/08A, and copies of the patent documents are enclosed for convenient reference.

The Examiner is respectfully requested to return a copy of an initialed form PTO/SB/08A evidencing consideration of this information with the next Office Action.

Applicant respectfully points out that one of the patent documents provided herewith, namely, U.S. Patent No. D25,368 to

Sperry, is being submitted in an abundance of caution, because in the Office Action dated July 27, 2005, it was incorrectly identified in the Notice of References Cited. Specifically, that Office Action refers to U.S. Patent No. D35,368 to Sperry and a publication date of December 1901, rather than U.S. Patent No. D25,368 to Sperry, and having a publication date of April 7, 1896, as is disclosed herein.

Respectfully submitted,

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By: 

Jennie S. Malloy
Reg. No. 37,670

Dated: 6/29/2007

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Substitute for form 449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/824,206
Filing Date	April 14, 2004
First Named Inventor	Dougan H. Clarke
Art Unit	3637
Examiner Name	Yip, Winnie S.
Attorney Docket Number	1.022-A.03

Sheet 1

of 1

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
		IT PD 950052 A	09/09/1996	Carraro		
		ZA 2001/10164	09/30/2002	Clarke		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND**

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.